



## Minimal $\gamma$ -sheaves

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REPORT No. 24, 2006/2007

ISSN 1103-467X

ISRN IML-R- -24-06/07- -SE

# MINIMAL $\gamma$ -SHEAVES

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ABSTRACT. In this note we show that finitely generated unit  $\mathcal{O}_X[\sigma]$ -modules for  $X$  regular and  $F$ -finite have a minimal root (in the sense of [Lyu97] Definition 3.6). This question was asked by Lyubeznik and answered by himself in the complete case. In fact, we construct a minimal subcategory of the category of coherent  $\gamma$ -sheaves (in the sense of [BB06]) which is equivalent to the category of  $\gamma$ -crystals. Some applications to tight closure are included at the end of the paper.

## 1. INTRODUCTION

In [Lyu97] introduces the category of finitely generated unit  $R[\sigma]$ -modules and applies the resulting theory successfully to study finiteness properties of local cohomology modules. One of the main tools in proving results about unit  $R[\sigma]$ -modules is the concept of a generator or root. In short, a generator (later on called  $\gamma$ -sheaf) is a finitely generated module  $M$  together with a map  $\gamma : M \rightarrow \sigma^*M$ . By repeated application of  $\sigma^*$  to this map one obtains a direct limit system, whose limit we call  $\mathbf{Gen}M$ . One checks easily that  $\gamma$  induces an map  $\mathbf{Gen}M \rightarrow \sigma^*\mathbf{Gen}M$  which is an isomorphism. A finitely generated unit  $R[\sigma]$ -module  $\mathcal{M}$  is precisely a module which is isomorphic to  $\mathbf{Gen}M$  for some  $\gamma$ -sheaf  $(M, \gamma)$ , it hence comes equipped with an isomorphism  $\mathcal{M} \cong \sigma^*\mathcal{M}$ . Of course, different  $\gamma$ -sheaves may generate isomorphic unit  $R[\sigma]$ -modules so the question arises if there is a unique minimal (in an appropriate sense)  $\gamma$ -sheaf that generates a given unit  $R[\sigma]$ -module. In the case that  $R$  is complete, this is shown to be the case in [Lyu97] Theorem 3.5. In [Bli04] this is extended to the case that  $R$  is local (at least if  $R$  is  $F$ -finite). The purpose of this note is to prove this in general, i.e for any  $F$ -finite regular ring  $R$  (see Theorem 2.22). A notable point in the proof is that it does not rely on the hard finiteness result [Lyu97] Theorem 4.2, but only on the (easier) local case of it which is in some sense proven here *en passant* (see Remark 2.13).

The approach in this note is not the most direct one imaginable since we essentially develop a theory of minimal  $\gamma$ -sheaves from scratch (section 2). However, with this theory at hand, the results on minimal generators are merely a corollary.

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2000 *Mathematics Subject Classification.* 13A35.

During the preparation of this article the author was supported by the *DFG Schwerpunkt Komplexe Geometrie*. Some part of the research was done while the author was visiting the Institute Mittag-Leffler, Djursholm, Sverige. Their hospitality and financial support is greatly appreciated.

The ideas in this paper have two sources. Firstly, the ongoing project [BB06] of the author with Gebhard Böckle lead to a systematic study of  $\gamma$ -sheaves (the notation  $\gamma$ -sheaf is chosen to remind of the notion of a **generator** introduced in [Lyu97]). Secondly, insight gained from the  $D$ -module theoretic viewpoint on generalized test ideals developed in [BMS] lead to the observation that these techniques can be successfully applied to study  $\gamma$ -sheaves.

In the final [section 3](#) we give some applications of the result on the existence of minimal  $\gamma$ -sheaves. First, we show that the category of minimal  $\gamma$ -sheaves is equivalent to the category  $\gamma$ -crystals of [BB06]. We show that a notion from tight closure theory, namely the parameter test module, is a global object ([Proposition 3.3](#)). Statements of this type are notoriously hard in the theory of tight closure. Furthermore, we give a concrete description of minimal  $\gamma$ -sheaves in a very simple case ([Proposition 3.5](#)), relating it to the generalized test ideals studied in [BMS]. This viewpoint also recovers (and slightly generalizes, with new proofs) the main results of [BMS] and [AMBL05]. A similar generalization, however using different (but related) methods, was recently obtained independently by Lyubeznik, Katzman and Zheng in [KLZ].

**Notation.** Throughout we fix a *regular* scheme  $X$  over a field  $k \supseteq \mathbb{F}_q$  of characteristic  $p > 0$  (with  $q = p^e$  fixed). We further assume that  $X$  is  $F$ -finite, i.e. the Frobenius morphism  $\sigma : X \rightarrow X$ , which is given by sending  $f \in \mathcal{O}_X$  to  $f^q$ , is a finite morphism<sup>1</sup>. In particular,  $\sigma$  is affine. This allows to reduce in many arguments below to the case that  $X$  itself is affine and I will do so if convenient. We will use without further mention that because  $X$  is regular, the Frobenius morphism  $\sigma : X \rightarrow X$  is flat such that  $\sigma^*$  is an exact functor (see [Kun69]).

## 2. MINIMAL $\gamma$ -SHEAVES

We begin with recalling the notion of  $\gamma$ -sheaves and nilpotence.

**Definition 2.1.** A  $\gamma$ -sheaf on  $X$  is a pair  $(M, \gamma_M)$  consisting of a quasi-coherent  $\mathcal{O}_X$ -module  $M$  and a  $\mathcal{O}_X$ -linear map  $\gamma : M \rightarrow \sigma^*M$ . A  $\gamma$  sheaf is called *coherent* if its underlying sheaf of  $\mathcal{O}_X$ -modules is coherent.

A  $\gamma$ -sheaf  $(M, \gamma)$  is called *nilpotent* (of order  $n$ ) if  $\gamma^n \stackrel{\text{def}}{=} \sigma^{n*}\gamma \circ \sigma^{(n-1)*}\gamma \circ \dots \circ \sigma^*\gamma \circ \gamma = 0$  for some  $n > 0$ . A  $\gamma$ -sheaf is called *locally nilpotent* if it is the union of nilpotent  $\gamma$  subsheaves.

Maps of  $\gamma$ -sheaves are maps of the underlying  $\mathcal{O}_X$ -modules such that the obvious diagram commutes. The following proposition summarizes some properties of  $\gamma$ -sheaves, for proofs and more details see [BB06].

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<sup>1</sup>It should be possible to replace the assumption of  $F$ -finiteness to saying that if  $X$  is a  $k$ -scheme with  $k$  a field that the relative Frobenius  $\sigma_{X/k}$  is finite. This would extend the results given here to desirable situations such as  $X$  of finite type over a field  $k$  with  $[k : k^q] = \infty$ . The interested reader should have no trouble to adjust our treatment to this case.

- Proposition 2.2.** (a) *The set of  $\gamma$ -sheaves forms an abelian category which is closed under extensions.*  
 (b) *The coherent, nilpotent and locally nilpotent  $\gamma$ -sheaves are abelian subcategories, also closed under extension.*

*Proof.* The point in the first statement is that the  $O_X$ -module kernel, co-kernel and extension of (maps of)  $\gamma$ -sheaves naturally carries the structure of a  $\gamma$ -sheaf. This is really easy to verify such that we only give the construction of the  $\gamma$ -structure on the kernel as an illustration. Recall that we assume that  $X$  is regular such that  $\sigma$  is flat, hence  $\sigma^*$  is an exact functor. If  $\varphi : M \rightarrow N$  is a homomorphism of  $\gamma$ -sheaves, i.e. a commutative diagram

$$\begin{array}{ccc} M & \xrightarrow{\varphi} & N \\ \gamma_M \downarrow & & \downarrow \gamma_N \\ \sigma^* M & \xrightarrow{\sigma^* \varphi} & \sigma^* N \end{array}$$

which induces a map  $\ker \varphi \rightarrow \ker(\sigma^* \varphi)$ . Since  $\sigma^*$  is exact, the natural map  $\sigma^*(\ker \varphi) \rightarrow \ker(\sigma^* \varphi)$  is an isomorphism. Hence the composition

$$\ker \varphi \rightarrow \ker(\sigma^* \varphi) \xrightarrow{\cong} \sigma^*(\ker \varphi)$$

equips  $\ker \varphi$  with a natural structure of a  $\gamma$ -sheaf.

The second part of **Proposition 2.2** is also easy to verify such that we leave it to the reader, cf. the proof of **Lemma 2.3** below.  $\square$

**Lemma 2.3.** *A morphism  $\varphi : M \rightarrow N$  of  $\gamma$ -sheaves is called nil-injective (resp. nil-surjective, nil-isomorphism) if its kernel (resp. cokernel, both) is locally nilpotent.*

- (a) *If  $N$  is coherent and  $\varphi$  is nil-injective (resp. nil-surjective) then  $\ker \varphi$  (resp.  $\operatorname{coker} \varphi$ ) is nilpotent.*
- (b) *Kernel and cokernel of  $\varphi$  are nilpotent (of order  $n$  and  $m$  resp.) if and only if there is, for some  $k \geq 0$  ( $k = n + m$ ), a map  $\psi : N \rightarrow \sigma^{k*} M$  such that  $\gamma_M^k = \psi \circ \varphi$ .*
- (c) *If  $N$  is nilpotent of degree  $\leq n$  (i.e.  $\gamma_N^n = 0$ ) and  $N' \subseteq N$  contains the kernel of  $\gamma_N^i$  for  $1 \leq i \leq n$ , then  $N'$  is nilpotent of degree  $\leq i$  and  $N/N'$  is nilpotent of degree  $\leq n - i$ .*

*Proof.* The first statement is clear since  $X$  is noetherian. For the second statement consider the diagram obtained from the exact sequence  $0 \rightarrow K \rightarrow M \rightarrow N \rightarrow$

$C \rightarrow 0$ .

$$\begin{array}{ccccccccc}
0 & \longrightarrow & K & \longrightarrow & M & \longrightarrow & N & \longrightarrow & C & \longrightarrow & 0 \\
& & \downarrow & & \downarrow & & \swarrow \psi & & \downarrow & & \downarrow 0 \\
0 & \longrightarrow & \sigma^{n*}K & \longrightarrow & \sigma^{n*}M & \longrightarrow & \sigma^{n*}N & \longrightarrow & \sigma^{n*}C & \longrightarrow & 0 \\
& & \downarrow 0 & & \downarrow & & \swarrow \psi & & \downarrow & & \downarrow \\
0 & \longrightarrow & \sigma^{(n+m)*}K & \longrightarrow & \sigma^{(n+m)*}M & \longrightarrow & \sigma^{(n+m)*}N & \longrightarrow & \sigma^{(n+m)*}C & \longrightarrow & 0
\end{array}$$

If there is  $\psi$  as indicated, then clearly the leftmost and rightmost vertical arrows of the first row are zero, i.e.  $K$  and  $C$  are nilpotent. Conversely, let  $K = \ker \varphi$  be nilpotent of degree  $n$  and  $C = \operatorname{coker} \varphi$  be nilpotent of degree  $m$ . Then the top right vertical arrow and the bottom left vertical arrow are zero. This easily implies that there is a dotted arrow as indicated, which will be the sought after  $\psi$ .

For the last part, the statement about the nilpotency of  $N'$  is trivial. Consider the short exact sequence  $0 \rightarrow N' \rightarrow N \rightarrow N/N' \rightarrow 0$  and the diagram one obtains by considering  $\sigma^{(n-i)*}$  and  $\sigma^{n*}$  of this sequence.

$$\begin{array}{ccccccccc}
0 & \longrightarrow & N' & \longrightarrow & N & \longrightarrow & N/N' & \longrightarrow & 0 \\
& & \downarrow & & \downarrow \gamma^{n-i} & & \downarrow & & \\
0 & \longrightarrow & \sigma^{(n-i)*}N' & \longrightarrow & \sigma^{(n-i)*}N & \longrightarrow & \sigma^{(n-i)*}(N/N') & \longrightarrow & 0 \\
& & \downarrow 0 & & \downarrow \sigma^{(n-i)*}\gamma^i & & \downarrow & & \\
0 & \longrightarrow & \sigma^{n*}N' & \longrightarrow & \sigma^{n*}N & \longrightarrow & \sigma^{n*}(N/N') & \longrightarrow & 0
\end{array}$$

The composition of the middle vertical map is  $\gamma_N^n$  which is zero by assumption. To conclude that the top right vertical arrow is zero one uses the fact that  $\sigma^{(n-i)*}N' \supseteq \sigma^{(n-i)*}\ker \gamma^i = \ker(\sigma^{(n-i)*}\gamma^i)$ . With this it is an easy diagram chase to conclude that the top right vertical map is zero.  $\square$

**Lemma 2.4.** *Let  $M \xrightarrow{\varphi} N$  be a map of  $\gamma$ -sheaves. Let  $N' \subseteq N$  be such that  $N/N'$  is nilpotent (hence  $N' \subseteq N$  is a nil-isomorphism). Then  $M/(\varphi^{-1}N')$  is also nilpotent.*

*Proof.* If  $\varphi$  is injective/surjective, the Snake Lemma shows that  $M/(\varphi^{-1}N')$  injects/surjects to  $N/N'$ . Now split  $\varphi$  into  $M \twoheadrightarrow \operatorname{image} \varphi \hookrightarrow N$ .  $\square$

If  $(M, \gamma)$  is a  $\gamma$ -sheaf, then  $\sigma^*M$  is naturally a  $\gamma$ -sheaf with structural map  $\sigma^*\gamma$ . Furthermore, the map  $\gamma : M \rightarrow \sigma^*M$  is then a map of  $\gamma$ -sheaves which is a *nil-isomorphism*, i.e. kernel and cokernel are nilpotent. We can iterate this process to obtain a directed system

$$(2.1) \quad M \xrightarrow{\gamma} \sigma^*M \xrightarrow{\sigma^*\gamma} \sigma^{2*}M \xrightarrow{\sigma^{2*}\gamma} \dots$$

whose limit we denote by  $\mathbf{Gen}M$ . Clearly  $\mathbf{Gen}M$  is a  $\gamma$ -sheaf whose structural map  $\gamma_{\mathbf{Gen}M}$  is injective. In fact, it is an isomorphism since clearly  $\sigma^*\mathbf{Gen}M \cong \mathbf{Gen}M$ . Note that even if  $M$  is coherent,  $\mathbf{Gen}M$  is generally not coherent. Furthermore, let  $\overline{M}$  be the image of  $M$  under the natural map  $M \rightarrow \mathbf{Gen}M$ . Then, if  $M$  is coherent, so is  $\overline{M}$  and the map  $M \twoheadrightarrow \overline{M}$  is a nil-isomorphism. Since  $\overline{M}$  is a  $\gamma$ -submodule of  $\mathbf{Gen}M$  whose structural map is injective, the structural map  $\overline{\gamma}$  of  $\overline{M}$  is injective as well.

**Proposition 2.5.** *The operation that assigns to each  $\gamma$ -sheaf  $M$  its image  $\overline{M}$  in  $\mathbf{Gen}M$  is an end-exact functor (preserves exactness only at the end of sequences) from  $\mathbf{Coh}_\gamma(X)$  to  $\mathbf{Coh}_\gamma(X)$ . The kernel  $M^\circ = \bigcup \ker \gamma_M^i$  of the natural map  $M \rightarrow \overline{M}$  is the maximal (locally) nilpotent subsheaf of  $M$ .*

*Proof.* The point is that one has a functorial map between the exact functors  $\text{id} \rightarrow \mathbf{Gen}$ . An easy diagram chase shows that the image of such a functorial map is an end-exact functor (see for example [Kat96, 2.17 Appendix 1]). The verification of the statement about  $M^\circ$  left to the reader.  $\square$

Such  $\gamma$ -submodules with injective structural map enjoy a certain minimality property with respect to nilpotent subsheaves:

**Lemma 2.6.** *Let  $(M, \gamma)$  be a  $\gamma$ -sheaf. The structural map  $\gamma_M$  is injective if and only if  $M$  does not have a non-trivial nilpotent subsheaf.*

*Proof.* Assume that the structural map of  $M$  is injective. This implies that the structural map of any  $\gamma$ -subsheaf of  $M$  is injective. But a  $\gamma$ -sheaf with injective structural map is nilpotent if and only if it is zero.

Conversely,  $\ker \gamma_M$  is a nil-potent subsheaf of  $M$ . If  $\gamma_M$  is not injective it is nontrivial.  $\square$

### 2.1. Definition of minimal $\gamma$ -sheaves.

**Definition 2.7.** A coherent  $\gamma$ -sheaf  $M$  is called *minimal* if the following two conditions hold.

- (a)  $M$  does not have nontrivial nilpotent subsheaves.
- (b)  $M$  does not have nontrivial nilpotent quotients.

A simple consequence of the definition is

**Lemma 2.8.** *Let  $M$  be a  $\gamma$ -sheaf. If  $M$  satisfies (a) then any  $\gamma$ -subsheaf of  $M$  also satisfies (a). If  $M$  satisfies (b) then so does any quotient.*

*Proof.* Immediate from the definition.  $\square$

As the preceding Lemma 2.6 shows, (a) is equivalent to the condition that the structural map  $\gamma_M$  is injective. We give a concrete description of the second condition.

**Proposition 2.9.** *For a coherent  $\gamma$ -sheaf  $M$ , the following conditions are equivalent.*

- (a)  *$M$  does not have nontrivial nilpotent quotients.*
- (b) *For any map of  $\gamma$ -sheaves  $\varphi : N \rightarrow M$ , if  $\gamma_M(M) \subseteq \varphi(\sigma^*N)$  (as subsets of  $\sigma^*M$ ) then  $\varphi$  is surjective.*

*Proof.* I begin with showing the easy direction that (a) implies (b): Note that the condition  $\gamma_M(M) \subseteq \varphi(\sigma^*N)$  in (b) precisely says that the induced structural map on the cokernel of  $N \rightarrow M$  is the zero map, thus in particular  $M/\varphi(N)$  is a nilpotent quotient of  $M$ . By assumption on  $M$ ,  $M/\varphi(N) = 0$  and hence  $\varphi(N) = M$ .

Let  $M \twoheadrightarrow C$  be such that  $C$  is nilpotent. Let  $N \subseteq M$  be its kernel. We have to show that  $N = M$ . The proof is by induction on the the order of nilpotency of  $C$  (simultaneously for all  $C$ ). If  $C = M/N$  is nilpotent of order 1 this means precisely that  $\gamma(M) \subseteq \sigma^*N$ , hence by (b) we have  $N = M$  as claimed. Now let  $N$  be such that the nilpotency order of  $C \stackrel{\text{def}}{=} M/N$  is equal to  $n \geq 2$ . Consider the  $\gamma$ -submodule  $N' = \pi^{-1}(\ker \gamma_C)$  of  $M$ . This  $N'$  clearly contains  $N$  and we have that  $M/N' \cong C/(\ker \gamma_C)$ . By the previous [Lemma 2.3](#) we conclude that the nilpotency order of  $M/N'$  is  $\leq n - 1$ . Thus by induction  $N' = M$ . Hence  $M/N = N'/N \cong \ker \gamma_C$  is of nilpotency order 1. Again by the base case of the induction we conclude that  $M = N$ .  $\square$

These observations immediately lead to the following corollary.

**Corollary 2.10.** *A coherent  $\gamma$ -sheaf  $M$  is minimal if and only if the following two conditions hold.*

- (a) *The structural map of  $M$  is injective.*
- (b) *If  $N \subseteq M$  is a subsheaf such that  $\gamma(M) \subseteq \sigma^*N$  then  $N = M$ .*

The conditions in the Corollary are essentially the definition of a *minimal root* of a finitely generated unit  $R[\sigma]$ -module in [[Lyu97](#)]. The finitely generated unit  $R[\sigma]$ -module generated by  $(M, \gamma)$  is of course  $\text{Gen}M$ . Lyubeznik shows in the case that  $R$  is a complete regular ring, that minimal roots exist. In [[Bli04](#), Theorem 2.10] I showed how to reduce the local case to the complete case if  $R$  is  $F$ -finite. For convenience we give a streamlined argument of the result in the local case in the language of  $\gamma$ -sheaves.

**2.2. Minimal  $\gamma$ -sheaves over local rings.** The difficult part in establishing the existence of a minimal root is to satisfy condition (b) of [Definition 2.7](#). The point is to bound the order of nilpotency of any nilpotent quotient of a fixed  $\gamma$ -sheaf  $M$ .

**Proposition 2.11.** *Let  $(R, \mathfrak{m})$  be regular, local and  $F$ -finite. Let  $M$  be a coherent  $\gamma$ -sheaf and  $N_i$  be a collection of  $\gamma$ -sub-sheaves which is closed under finite intersections and such that  $M/N_i$  is nilpotent for all  $i$ . Then  $M/\bigcap N_i$  is nilpotent.*

*Proof.* Since  $R$  is regular, local and  $F$ -finite,  $R$  is via  $\sigma$  a free  $R$ -module of finite rank. Hence  $\sigma^*$  is nothing but tensorisation with a free module of finite rank. Such an operation commutes with the formation of inverse limits such that  $\sigma^* \bigcap N_i = \bigcap (\sigma^* N_i)$  and hence  $\bigcap N_i$  is a  $\gamma$ -subsheaf of  $M$ . Clearly we may replace  $M$  by  $M/\bigcap N_i$  such that we have  $\bigcap N_i = 0$ . By faithfully flatness of completion  $M$  is nilpotent if and only if  $\hat{R} \otimes_R M$  is a nilpotent  $\gamma$ -sheaf over  $\hat{R}$  (and similar for all  $M/N_i$ ). Hence we may assume that  $(R, \mathfrak{m})$  is complete. We may further replace  $M$  by its image  $\overline{M}$  in  $\text{Gen}M$ . Thus we may assume that  $M$  has injective structural map  $\gamma : M \subseteq \sigma^* M$ . We have to show that  $M = 0$ .

By the Artin-Rees Lemma (applied to  $M \subseteq \sigma^* M$ ) there exists  $t \geq 0$  such that for all  $s > t$

$$M \cap \mathfrak{m}^s \sigma^* M \subseteq \mathfrak{m}^{s-t} (M \cap \mathfrak{m}^t \sigma^* M) \subseteq \mathfrak{m}^{s-t} M .$$

By Chevalley's Theorem in the version of [Lyu97, Lemma 3.3], for some  $s \gg 0$  (in fact  $s \geq t+1$  will suffice) we find  $N_i$  with  $N_i \subseteq \mathfrak{m}^s M$ . Possibly increasing  $s$  we may assume that  $N_i \not\subseteq \mathfrak{m}^{s+1} M$  (unless, of course  $N_i = 0$  in which case  $M/N_i = M$  is nilpotent  $\Rightarrow M = 0$  since  $\gamma_M$  is injective, and we are done). Combining these inclusions we get

$$\begin{aligned} N_i \subseteq \sigma^* N_i \cap M &\subseteq \sigma^*(\mathfrak{m}^s M) \cap M \\ &\subseteq (\mathfrak{m}^s)^{[q]} \sigma^* M \cap M \subseteq \mathfrak{m}^{sq} \sigma^* M \cap M \\ &\subseteq \mathfrak{m}^{sq-t} M . \end{aligned}$$

But since  $sq - t \geq s + 1$  for our choice of  $s \geq t + 1$  this is a contradiction (to the assumption  $N_i \neq 0$ ) and the result follows.  $\square$

**Corollary 2.12.** *Let  $R$  be regular, local and  $F$ -finite and  $M$  a coherent  $\gamma$ -sheaf. Then  $M$  has a nil-isomorphic subsheaf without non-zero nilpotent quotients (i.e. satisfying (b) of the definition of minimality). In particular,  $M$  is nil-isomorphic to a minimal  $\gamma$ -sheaf.*

*Proof.* Let  $N_i$  be the collection of all nil-isomorphic subsheaves of  $M$ . This collection is closed under finite intersection: If  $N$  and  $N'$  are two such, then Lemma 2.4 shows that  $N \cap N'$  is a nil-isomorphic subsheaf of  $N$ . Since composition of nil-isomorphisms are nil-isomorphisms it follows that  $N \cap N' \subseteq M$  is a nil-isomorphism as well.

Since  $M$  is coherent each  $M/N_i$  is indeed nilpotent such that we can apply Proposition 2.11 to conclude that  $M/\bigcap N_i$  is nilpotent. Hence  $N \stackrel{\text{def}}{=} \bigcap N_i$  is the unique smallest nil-isomorphic subsheaf of  $M$ . It is clear that  $N$  cannot have non-zero nilpotent quotients (since the kernel would be a strict subsheaf of  $N$ , nil-isomorphic to  $M$ , by Proposition 2.2 (b)).

By first replacing  $M$  by  $\overline{M}$  we can also achieve that condition (a) of the definition of minimality holds. As condition (a) passes to subsheaves, the smallest

nil-isomorphic subsheaf of  $\overline{M}$  is the sought after minimal  $\gamma$ -sheaf which is nil-isomorphic to  $M$ .  $\square$

*Remark 2.13.* Essentially the same argument as in the proof of [Proposition 2.11](#) shows the following: If  $R$  is local and  $M$  is a coherent  $\gamma$ -sheaf over  $R$  with injective structural map, then any descending chain of  $\gamma$ -submodules of  $M$  stabilizes. This was shown (with essentially the same argument) in [\[Lyu97\]](#) and implies immediately that  $\gamma$ -sheaves with injective structural map satisfy DCC.

If one tries to reduce the general case of [Corollary 2.12](#) (i.e.  $R$  not local) to the local case just proven one encounters the problem of having to deal with the behavior of the infinite intersection  $\bigcap N_i$  under localization. This is a source of troubles I do not know how to deal with directly. The solution to this is to take a detour and realize this intersection in a fashion such that each term functorially depends on  $M$  and furthermore that this functorial construction commutes with localization. This is explained in the following section.

**2.3.  $D_X^{(1)}$ -modules and Frobenius descent.** Let  $D_X$  denote the sheaf of differential operators on  $X$ . This is a sheaf of rings on  $X$  which locally, on each affine subvariety  $\text{Spec } R$  is described as follows.

$$D_R = \bigcup_{i=0}^{\infty} D_R^{(i)}$$

where  $D_R^{(i)}$  is the subset of  $\text{End}_{\mathbb{F}_q}(R)$  consisting of the operators which are linear over  $R^q$ , the subring of  $(q^i)^{\text{th}}$  powers of elements of  $R$ . In particular  $D_R^{(0)} \cong R$  and  $D_R^{(1)} = \text{End}_{R^q}(R)$ . Clearly,  $R$  itself becomes naturally a left  $D_R^{(i)}$ -module. Now denote by  $R^{(1)}$  the  $D_R^{(1)}$ - $R$ -bi-module which has this left  $D_R^{(1)}$ -module structure and the right  $R$ -module structure via Frobenius. i.e. for  $r \in R^{(1)}$  and  $x \in R$  we have  $r \cdot x = rx^q$ . With this notation we may view  $D_R^{(1)} = \text{End}_R^r(R^{(1)})$  as the right  $R$ -linear endomorphisms of  $R^{(1)}$ . Thus we have

$$\sigma^*(\_) = R^{(1)} \otimes_R \_ : R\text{-mod} \rightarrow D_R^{(1)}\text{-mod}$$

which makes  $\sigma^*$  into an equivalence of categories from  $R$ -modules to  $D_R^{(1)}$ -modules (because, since  $\sigma$  is flat and  $R$  is  $F$ -finite,  $R^{(1)}$  is a locally free right  $R$ -module of finite rank). Its inverse functor is given by

$$(2.2) \quad \sigma^{-1}(\_) = \text{Hom}_R^r(R^{(1)}, R) \otimes_{D_R^{(1)}} \_ : D_R^{(1)}\text{-mod} \rightarrow R\text{-mod}$$

For details see [AMBL05, Section 2.2]. I want to point out that these constructions commute with localization at arbitrary multiplicative sets. Let  $S$  be a multiplicative set of  $R$ .<sup>2</sup> We have

$$\begin{aligned}
 S^{-1}D_R^{(1)} &= S^{-1}\text{End}_R^r(R^{(1)}) \\
 (2.3) \quad &= \text{End}_{S^{-1}R}^r((S^{[q]})^{-1}R^{(1)}) = \text{End}_{S^{-1}R}^r((S^{-1}R)^{(1)}) \\
 &= D_{S^{-1}R}^{(1)}
 \end{aligned}$$

Furthermore we have for an  $D_R^{(1)}$ -module  $M$ :

$$\begin{aligned}
 S^{-1}(\sigma^{-1}M) &= S^{-1}(\text{Hom}_R^r(R^{(1)}, R) \otimes_{D_R^{(1)}} M) \\
 &= S^{-1}\text{Hom}_R^r(R^{(1)}, R) \otimes_{S^{-1}D_R^{(1)}} S^{-1}M \\
 &= \text{Hom}_{S^{-1}R}^r((S^{-1}R)^{(1)}, S^{-1}R) \otimes_{D_{S^{-1}R}^{(1)}} S^{-1}M \\
 &= \sigma^{-1}(S^{-1}M)
 \end{aligned}$$

These observations are summarized in the following Proposition

**Proposition 2.14.** *Let  $X$  be  $F$ -finite and regular. Let  $U$  be an open subset (more generally,  $U$  is locally given on  $\text{Spec } R$  as  $\text{Spec } S^{-1}R$  for some (sheaf of) multiplicative sets on  $X$ ). Then*

$$(D_X^{(1)})|_U = D_U^{(1)}$$

and for any sheaf of  $D_X^{(1)}$ -modules  $M$  one has that

$$(\sigma^{-1}M)|_U = (\text{Hom}^r(\mathcal{O}_X^{(1)}, \mathcal{O}_X) \otimes_{D_X^{(1)}} M)|_U \cong \text{Hom}^r(\mathcal{O}_U^{(1)}, \mathcal{O}_U) \otimes_{D_U^{(1)}} M|_U = \sigma^{-1}(M|_U)$$

as  $\mathcal{O}_U$ -modules.

**2.4. A criterion for minimality.** The Frobenius descent functor  $\sigma^{-1}$  can be used to define an operation on  $\gamma$ -sheaves which assigns to a  $\gamma$ -sheaf  $M$  its smallest  $\gamma$ -subsheaf  $N$  with the property that  $M/N$  has the trivial ( $=0$ )  $\gamma$ -structure. This is the opposite of what the functor  $\sigma^*$  does:  $\gamma : M \rightarrow \sigma^*M$  is a map of  $\gamma$  sheaves such that  $\sigma^*M/\gamma(M)$  has trivial  $\gamma$ -structure.

We define the functor  $\sigma_\gamma^{-1}$  from  $\gamma$ -sheaves to  $\gamma$ -sheaves as follows. Let  $M \xrightarrow{\gamma} \sigma^*M$  be a  $\gamma$  sheaf. Then  $\gamma(M)$  is an  $\mathcal{O}_X$ -submodule of the  $D_X^{(1)}$ -module  $\sigma^*M$ . Denote by  $D_X^{(1)}\gamma(M)$  the  $D_X^{(1)}$ -submodule of  $\sigma^*M$  generated by  $\gamma(M)$ . To this inclusion of  $D_X^{(1)}$ -modules

$$D_X^{(1)}\gamma(M) \subseteq \sigma^*M$$

---

<sup>2</sup>Since  $S^{-1}R = (S^{[q]})^{-1}R$  we may assume that  $S \subseteq R^q$ . This implies that  $S$  is in the center of  $D_R^{(1)}$  such that localization in this non-commutative ring along  $S$  is harmless. With this I mean that we may view the localization of the left  $R$ -module  $D_R^{(1)}$  at  $S^{-1}$  in fact as the localization of  $D_R^{(1)}$  at the central multiplicative set  $(S^{[q]})^{-1}$

we apply the Frobenius descent functor  $\sigma^{-1} : D_X^{(1)\text{-mod}} \rightarrow \mathcal{O}_X\text{-mod}$  defined above in [Equation 2.2](#) and use that  $\sigma^{-1} \circ \sigma^* = \text{id}$  to define

$$\sigma_\gamma^{-1} M \stackrel{\text{def}}{=} \sigma^{-1}(D_X^{(1)}\gamma(M)) \subseteq \sigma^{-1}\sigma^* M = M$$

In general one has  $\sigma_\gamma^{-1}(\sigma^* M) = \sigma^{-1}D_X^{(1)}\sigma^*(\gamma)(\sigma^* M) = \gamma(M)$  since  $\sigma^*(\gamma)(\sigma^* M)$  already is a  $D_X^{(1)}$ -subsheaf of the  $D_X^{(2)}$ -module  $\sigma^*(\sigma^* M) = \sigma^{2*} M$ .

By construction  $\sigma_\gamma^{-1} M \subseteq M \xrightarrow{\gamma} \gamma(M) \subseteq D_X^{(1)}\gamma(M) = \sigma^*\sigma^{-1}D_X^{(1)}\gamma(M) = \sigma^*\sigma_\gamma^{-1} M$  such that  $\sigma_\gamma^{-1} M$  is a  $\gamma$ -subsheaf of  $M$ .

Furthermore, the quotient  $M/\sigma_\gamma^{-1} M$  has zero structural map. One makes the following observation

**Lemma 2.15.** *Let  $M$  be a  $\gamma$  sheaf. Then  $\sigma_\gamma^{-1} M$  is the smallest subsheaf  $N$  of  $M$  such that  $\sigma^* N \supseteq \gamma(M)$ .*

*Proof.* Clearly  $\sigma^{-1} M$  satisfies this condition. Let  $N$  be as in the statement of the Lemma. Then  $\sigma^* N$  is a  $D_X^{(1)}$ -subsheaf of  $\sigma^* M$  containing  $\gamma(M)$ . Hence  $D_X^{(1)}\gamma(M) \subseteq \sigma^* N$ . Applying  $\sigma^{-1}$  we see that  $\sigma^{-1} M \subseteq N$ .  $\square$

Therefore, the result of the lemma could serve as an alternative definition of  $\sigma_\gamma^{-1}$  (one would have to show that the intersection of all such  $N$  has again the property that  $\gamma(M) \subseteq \sigma^* \bigcap N$  but this follows since  $\sigma^*$  commutes with inverse limits). The following lemma is the key point in our reduction to the local case. It is an immediate consequence of [Proposition 2.14](#). Nevertheless we include here a proof using only the characterization of [Lemma 2.15](#). Hence one may avoid the appearance of  $D^{(1)}$ -modules in this paper altogether but I believe it to be important to explain where the ideas for the arguments originated, hence  $D^{(1)}$ -modules are still there.

**Lemma 2.16.** *Let  $M$  be a  $\gamma$  sheaf and let  $S \subseteq \mathcal{O}_X$  be multiplicative set. Then  $S^{-1}(\sigma_\gamma^{-1} M) = \sigma_\gamma^{-1}(S^{-1} M)$ .*

*Proof.* This follows from [Proposition 2.14](#). However, this can also be proven using only the characterization in [Lemma 2.15](#): By this we have

$$(2.4) \quad \sigma^*(S^{-1}(\sigma_\gamma^{-1} M)) = S^{-1}(\sigma^*(\sigma_\gamma^{-1} M)) \supseteq S^{-1}\gamma(M) = \gamma(S^{-1} M)$$

which implies that  $\sigma_\gamma^{-1}(S^{-1} M) \subseteq S^{-1}(\sigma_\gamma^{-1} M)$  because  $\sigma_\gamma^{-1}(S^{-1} M)$  is smallest (by [Lemma 2.15](#)) with respect to the inclusion shown in the displayed equation [Equation 2.4](#). On the other hand one has the chain of inclusions

$$\begin{aligned} \sigma^*(M \cap S^{-1}\sigma_\gamma^{-1}(M)) &= \sigma^* M \cap \sigma^*\sigma_\gamma^{-1}(S^{-1} M) \\ &\supseteq \sigma^* M \cap \gamma(S^{-1} M) \supseteq \gamma(M) \end{aligned}$$

and hence [Lemma 2.15](#) applied to  $M$  yields

$$\sigma_\gamma^{-1} M \subseteq M \cap S^{-1}\sigma_\gamma^{-1}(M).$$

Therefore  $S^{-1}\sigma_\gamma^{-1} M \subseteq S^{-1} M \cap S^{-1}(\sigma_\gamma^{-1} S^{-1} M) = \sigma_\gamma^{-1} S^{-1} M$  which finishes the argument.  $\square$

**Proposition 2.17.** *Let  $M$  be a  $\gamma$ -sheaf. Then  $\sigma_\gamma^{-1}M = M$  if and only if  $M$  has no proper nilpotent quotients (i.e. satisfies condition (b) of the definition of minimality)*

*If  $M$  is coherent. The condition on  $x \in X$  that the inclusion  $\sigma_\gamma^{-1}(M_x) \subseteq M_x$  is equality is an open condition on  $X$ .*

*Proof.* One direction is clear since  $M/\sigma_\gamma^{-1}M$  is a nilpotent quotient of  $M$ . We use the characterization in [Proposition 2.9](#). For this let  $N \subseteq M$  be such that  $\gamma(M) \subseteq \sigma^*N$ .  $\sigma_\gamma^{-1}M$  was the smallest subsheaf with this property, hence  $\sigma_\gamma^{-1}M \subseteq N \subseteq M$ . Since  $M = \sigma_\gamma^{-1}M$  by assumption it follows that  $N = M$ . Hence, by [Proposition 2.9](#),  $M$  does not have non-trivial nilpotent quotients.

By [Lemma 2.16](#)  $\sigma_\gamma^{-1}$  commutes with localization which means that  $\sigma_\gamma^{-1}(M_x) = (\sigma_\gamma^{-1}M)_x$ . Hence the second statement follows simply since both  $M$  and  $\sigma_\gamma^{-1}M$  are coherent (and equality of two coherent modules via a given map is an open condition).  $\square$

**Lemma 2.18.** *The assignment  $M \mapsto \sigma_\gamma^{-1}M$  is an end-exact functor on  $\gamma$ -sheaves.*

*Proof.* Formation of the image of the functorial map  $\text{id} \xrightarrow{\gamma} \sigma^*$  of exact functors is end-exact (see for example [[Kat96](#), 2.17 Appendix 1]). If  $M$  is a  $D_X^{(1)}$ -module and  $A \subseteq B$  are  $\mathcal{O}_X$ -submodules of  $M$  then  $D_X^{(1)}A \subseteq D_X^{(1)}B$ . If  $M \twoheadrightarrow N$  is a surjection of  $D_X^{(1)}$ -modules which induces a surjection on  $\mathcal{O}_X$ -submodules  $A \twoheadrightarrow B$  then, clearly,  $D_X^{(1)}A$  surjects onto  $D_X^{(1)}B$ . Now one concludes by observing that  $\sigma^{-1}$  is an exact functor.  $\square$

**Lemma 2.19.** *Let  $N \subseteq M$  be an inclusion of  $\gamma$ -sheaves such that  $\sigma^{n*}N \supseteq \gamma^n(M)$  (i.e. the quotient is nilpotent of order  $\leq n$ ). Then  $\sigma^{(n-1)*}(N \cap \sigma_\gamma^{-1}M) \supseteq \gamma^{n-1}(\sigma_\gamma^{-1}M)$ .*

*Proof.* Consider the  $\gamma$ -subsheaf  $M' = (\gamma^{n-1})^{-1}(\sigma^{(n-1)*}N)$  of  $M$ . One has

$$\sigma^*M' = (\sigma^*\gamma^{n-1})^{-1}(\sigma^{n*}N) \supseteq \gamma(M)$$

by the assumption that  $\gamma^n(M) \subseteq \sigma^{n*}N$ . Since  $\sigma_\gamma^{-1}M$  is minimal with respect to this property we have  $\sigma_\gamma^{-1}M \subseteq (\gamma^{n-1})^{-1}(\sigma^{(n-1)*}N)$ . Applying  $\gamma^{n-1}$  we conclude that  $\gamma^{n-1}(\sigma_\gamma^{-1}M) \subseteq \sigma^{(n-1)*}N$ . Since  $\sigma_\gamma^{-1}M$  is a  $\gamma$ -sheaf we have  $\gamma(\sigma_\gamma^{-1}M) \subseteq \sigma^{(n-1)*}(\sigma_\gamma^{-1}M)$  such that the claim follows.  $\square$

**2.5. Existence of minimal  $\gamma$ -sheaves.** For a given  $\gamma$ -sheaf  $M$  we can iterate the functor  $\sigma_\gamma^{-1}$  to obtain a decreasing sequence of  $\gamma$ -subsheaves

$$\dots \subseteq M_3 \subseteq M_2 \subseteq M_1 \subseteq M \xrightarrow{\gamma} \sigma^*M \rightarrow \dots$$

where  $M_i = \sigma_\gamma^{-1}M_{i-1}$ . Note that each inclusion  $M_i \subseteq M_{i-1}$  is a nil-isomorphism.

**Proposition 2.20.** *Let  $M$  be a coherent  $\gamma$ -sheaf. Then the following conditions are equivalent.*

- (a)  $M$  has a nil-isomorphic  $\gamma$ -subsheaf  $\underline{M}$  which does not have non-trivial nilpotent quotients (i.e.  $\underline{M}$  satisfies condition (b) in the definition of minimal  $\gamma$ -sheaf).
- (b)  $M$  has a unique smallest nil-isomorphic subsheaf (equiv.  $M$  has a (unique) maximal nilpotent quotient).
- (c) For some  $n \geq 0$ ,  $M_n = M_{n+1}$ .
- (d) There is  $n \geq 0$  such that for all  $m \geq n$ ,  $M_m = M_{m+1}$ .

*Proof.* (a)  $\Rightarrow$  (b): Let  $\underline{M} \subseteq M$  be the nil-isomorphic subsheaf of part (a) and let  $N \subseteq M$  be another nil-isomorphic subsheaf of  $M$ . By [Lemma 2.4](#) it follows that  $\underline{M} \cap N$  is also nil-isomorphic to  $M$ . In particular  $\underline{M}/(\underline{M} \cap N)$  is a nilpotent quotient of  $\underline{M}$  and hence must be trivial. Thus  $N \subseteq \underline{M}$  which shows that  $\underline{M}$  is the smallest nil-isomorphic subsheaf of  $M$ .

(b)  $\Rightarrow$  (c): Let  $N$  be this smallest subsheaf as in (b). Since each  $M_i$  is nil-isomorphic to  $M$ , it follows that  $N \subseteq M_i$  for all  $i$ . Let  $n$  be the order of nilpotency of the quotient  $M/N$ , i.e.  $\gamma^n(M) \subseteq \sigma^{n*}N$ . Repeated application ( $n$  times) of [Lemma 2.19](#) yields that  $M_n \subseteq N$ . Hence we get  $N \subseteq M_{n+1} \subseteq M_n \subseteq N$  which implies that  $M_{n+1} = M_n$ .

(c)  $\Rightarrow$  (d) is clear.

(d)  $\Rightarrow$  (a) is clear by [Proposition 2.17](#). □

This characterization enables us to show the existence of minimal  $\gamma$ -sheaves by reducing to the local case which we proved above.

**Theorem 2.21.** *Let  $M$  be a coherent  $\gamma$ -sheaf. There is a unique subsheaf  $\underline{M}$  of  $M$  which does not have non-trivial nilpotent quotients.*

*Proof.* By [Proposition 2.20](#) it is enough to show that the sequence  $M_i$  is eventually constant. Let  $U_i$  be the subset of  $X$  consisting of all  $x \in X$  on which  $(M_i)_x = (M_{i+1})_x (= (\sigma_\gamma^{-1}M_i)_x)$ . By [Proposition 2.17](#)  $U_i$  is an open subset of  $X$  (in this step I use the key observation [Proposition 2.14](#)) and that  $(M_i)|_{U_i} = (M_{i+1})|_{U_i}$ . By the functorial construction of the  $M_i$ 's the equality  $M_i = M_{i+1}$  for one  $i$  implies equality for all bigger  $i$ . It follows that the sets  $U_i$  form an increasing sequence of open subsets of  $X$  whose union is  $X$  itself by [Corollary 2.12](#) and [Proposition 2.20](#). Since  $X$  is noetherian,  $X = U_i$  for some  $i$ . Hence  $M_i = M_{i+1}$  such that the claim follows by [Proposition 2.20](#). □

**Theorem 2.22.** *Let  $M$  be a coherent  $\gamma$ -sheaf. Then there is a functorial way to assign to  $M$  a minimal  $\gamma$ -sheaf  $M_{\min}$  in the nil-isomorphism class of  $M$ .*

*Proof.* We may first replace  $M$  by the nil-isomorphic quotient  $\overline{M}$  which satisfies condition (a) of [Definition 2.7](#). Then replace  $\overline{M}$  by its minimal nil-isomorphic submodule  $\underline{\overline{M}}$  which also satisfies condition (b) of [Definition 2.7](#) (and condition (a) because (a) is passed to submodules). Thus the assignment  $M \mapsto M_{\min} \stackrel{\text{def}}{=} \underline{\overline{M}}$  is a functor since it is a composition of the functors  $M \mapsto \overline{M}$  and  $M \mapsto \underline{M}$ . □

**Proposition 2.23.** *If  $\varphi: M \rightarrow N$  is a nil-isomorphism, then  $\varphi_{\min}: M_{\min} \rightarrow N_{\min}$  is an isomorphism.*

*Proof.* Clearly,  $\varphi_{\min}$  is a nil-isomorphism. Since  $\ker \varphi_{\min}$  is a nilpotent subsheaf of  $M_{\min}$ , we have by [Definition 2.7](#) (a) that  $\ker \varphi_{\min} = 0$ . Since  $\operatorname{coker} \varphi_{\min}$  is a nilpotent quotient of  $N_{\min}$  it must be zero by [Definition 2.7](#) (b).  $\square$

**Corollary 2.24.** *Let  $\mathcal{M}$  be a finitely generated unit  $\mathcal{O}_X[\sigma]$ -module. Then  $M$  has a unique minimal root in the sense of [\[Lyu97\]](#).*

*Proof.* Let  $M$  be any root of  $\mathcal{M}$ , i.e.  $M$  is a coherent  $\gamma$ -sheaf such that  $\gamma_M$  is injective and  $\operatorname{Gen}M \cong \mathcal{M}$ . Then  $M_{\min} = \underline{M}$  is a minimal nil-isomorphic  $\gamma$ -subsheaf of  $M$  by [Theorem 2.22](#). By [Corollary 2.10](#) it follows that  $M_{\min}$  is the sought after minimal root of  $\mathcal{M}$ .  $\square$

Note that the only assumption needed in this result is that  $X$  is  $F$ -finite and regular. In particular it does not rely on the finite-length result [\[Lyu97\]](#) Theorem 3.2 which assumes that  $R$  is of finite type over a regular local ring (however it does not assume  $F$ -finiteness).

**Theorem 2.25.** *Let  $X$  be regular and  $F$ -finite. Then the functor*

$$\operatorname{Gen}: \mathbf{Min}_{\gamma}(X) \rightarrow \text{finitely generated unit } \mathcal{O}_X[\sigma]\text{-modules}$$

*is an equivalence of categories.*

*Proof.* The preceding corollary shows that  $\operatorname{Gen}$  is essentially surjective. The induced map on Hom sets is injective since a map of minimal  $\gamma$ -sheaves  $f$  is zero if and only if its image is nilpotent (since minimal  $\gamma$ -sheaves do not have nilpotent sub-modules) which is the condition that  $\operatorname{Gen}(f) = 0$ . It is surjective since any map between  $g: \operatorname{Gen}(M) \rightarrow \operatorname{Gen}(N)$  is obtained from a map of  $\gamma$ -sheaves  $M \rightarrow \sigma^{e*}N$  for some  $e \gg 0$ . But this induces a map  $M = M_{\min} \rightarrow (\sigma^{e*}N)_{\min} = N_{\min} = N$ .  $\square$

### 3. APPLICATIONS AND EXAMPLES

In this section we discuss some further examples and applications of the results on minimal  $\gamma$ -sheaves we obtained so far.

**3.1.  $\gamma$ -crystals.** The purpose of this section is to quickly explain the relationship of minimal  $\gamma$ -sheaves to  $\gamma$ -crystals which were introduced in [\[BB06\]](#). The category of  $\gamma$ -crystals is obtained by inverting nil-isomorphisms in  $\mathbf{Coh}_{\gamma}(X)$ . In [\[BB06\]](#) it is shown that the resulting category is abelian. One has a natural functor

$$\mathbf{Coh}_{\gamma}(X) \twoheadrightarrow \mathbf{Crys}_{\gamma}(X)$$

whose fibers we may think of consisting of nil-isomorphism classes of  $M$ . Note that the objects of  $\mathbf{Crys}_{\gamma}(X)$  are the same as in  $\mathbf{Coh}_{\gamma}(X)$ , however a morphism between  $\gamma$ -crystals  $M \rightarrow N$  is represented by a left-fraction, i.e. a diagram of  $\gamma$ -sheaves  $M \leftarrow M' \rightarrow N$  where the arrow  $\leftarrow$  is a nil-isomorphism.

On the other hand we just constructed the subcategory of minimal  $\gamma$ -sheaves  $\mathbf{Min}_\gamma(X) \subseteq \mathbf{Coh}_\gamma(X)$  and showed that there is a functorial splitting  $M \mapsto M_{\min}$  of this inclusion. An immediate consequence of [Proposition 2.23](#) is that if  $M$  and  $N$  are in the same nil-isomorphism class, then  $M_{\min} \cong N_{\min}$ . The verification of this may be reduced to considering the situation

$$M \leftarrow M' \Rightarrow N$$

with both maps nil-isomorphisms in which case [Proposition 2.23](#) shows that  $M_{\min} \cong M'_{\min} \cong N_{\min}$ . One has the following Proposition.

**Proposition 3.1.** *Let  $X$  be regular and  $F$ -finite. Then the composition*

$$\mathbf{Min}_\gamma(X) \hookrightarrow \mathbf{Coh}_\gamma(X) \twoheadrightarrow \mathbf{Crys}_\gamma(X)$$

*is an equivalence of categories whose inverse is given by sending a  $\gamma$ -crystal represented by the  $\gamma$ -sheaf  $M$  to the minimal  $\gamma$ -sheaf  $M_{\min}$ .*

*Proof.* The existence of  $M_{\min}$  shows that  $\mathbf{Min}_\gamma(X) \rightarrow \mathbf{Crys}_\gamma(X)$  is essentially surjective. It remains to show that  $\mathrm{Hom}_{\mathbf{Min}_\gamma}(M, N) \cong \mathrm{Hom}_{\mathbf{Crys}_\gamma}(M, N)$ . A map  $\varphi: M \rightarrow N$  of minimal  $\gamma$ -sheaves is zero in  $\mathbf{Crys}_\gamma$  if and only if image  $\varphi$  is nilpotent. But image  $\varphi$  is a subsheaf of the minimal  $\gamma$ -sheaf  $N$ , which by [Definition 2.7](#) (a) has no nontrivial nilpotent subsheaves. Hence image  $\varphi = 0$  and therefore  $\varphi = 0$ . This shows that the map on Hom sets is injective. The surjectivity follows again by functoriality of  $M \mapsto M_{\min}$ .  $\square$

**Corollary 3.2.** *Let  $X$  be regular and  $F$ -finite. The category of minimal  $\gamma$ -sheaves  $\mathbf{Min}_\gamma(X)$  is an abelian category. If  $\varphi: M \rightarrow N$  is a morphism then  $\ker_{\min} \varphi = (\ker \varphi)_{\min} = \underline{\ker \varphi}$  and  $\mathrm{coker}_{\min} \varphi = (\mathrm{coker} \varphi)_{\min} = \overline{\mathrm{coker} \varphi}$ .*

*Proof.* Since  $\mathbf{Min}_\gamma(X)$  is equivalent to  $\mathbf{Crys}_\gamma(X)$  and since the latter is abelian, so is  $\mathbf{Min}_\gamma(X)$ . This implies also the statement about  $\ker$  and  $\mathrm{coker}$ .  $\square$

**3.2. The parameter test module.** We give an application to the theory of tight closure. In [\[Bli04\]](#) Proposition 4.5 it was shown that the parameter test module  $\tau_{\omega_A}$  is the unique minimal root of the intersection homology unit module  $\mathcal{L} \subseteq H_I^{n-d}(R)$  if  $A = R/I$  is the quotient of the regular local ring  $R$  (where  $\dim R = n$  and  $\dim A = d$ ). Locally, the parameter test module  $\tau_{\omega_A}$  is defined as the Matlis dual of  $H_m^d(A)/0_{H_m^d(A)}^*$  where  $0_{H_m^d(A)}^*$  is the tight closure of zero in  $H_m^d(A)$ . The fact that we are now able to construct minimal  $\gamma$ -sheaves globally allows us to give a global candidate for the parameter test module.

**Proposition 3.3.** *Let  $A = R/I$  where  $R$  is regular and  $F$ -finite. Then there is a submodule  $L \subseteq \omega_A = \mathrm{Ext}^{n-d}(R/I, R)$  such that for each  $x \in \mathrm{Spec} A$  we have  $L_x \cong \tau_{\omega_x}$ .*

*Proof.* Let  $\mathcal{L} \subseteq H_I^{n-d}(R)$  be the unique smallest submodule of  $H_I^{n-d}(R)$  which agrees with  $H_I^{n-d}(R)$  on all smooth points of  $\text{Spec } A$ .  $\mathcal{L}$  exists by [Bli04] Theorem 4.1. Let  $L$  be a minimal generator of  $\mathcal{L}$ , i.e. a coherent minimal  $\gamma$ -sheaf such that  $\text{Gen } L = \mathcal{L}$  which exists due to Theorem 2.21. Because of Proposition 2.14 it follows that  $L_x$  is also a minimal  $\gamma$ -sheaf and  $\text{Gen } L_x \cong \mathcal{L}_x$ . But from [Bli04] Proposition 4.5 we know that the unique minimal root of  $\mathcal{L}_x$  is  $\tau_{\omega_{A_x}}$ , the parameter test module of  $A_x$ . It follows that  $L_x \cong \tau_{\omega_{A_x}}$  by uniqueness. To see that  $L \subseteq \text{Ext}^{n-d}(R/I, R)$  we just observe that  $\text{Ext}^{n-d}(R/I, R)$  with the map induced by  $R/I^{[q]} \rightarrow R/I$  is a  $\gamma$ -sheaf which generates  $H_I^{n-d}(R)$ . Hence by minimality of  $L$  we have the desired inclusion.  $\square$

**3.3. Test ideals and minimal  $\gamma$ -sheaves.** We consider now the simplest example of a  $\gamma$ -sheaf, namely that of a free rank one  $R$ -module  $M(\cong R)$ . That means that via the identification  $R \cong \sigma^* R$  the structural map

$$\gamma : M \cong R \xrightarrow{f \cdot} R \cong \sigma^* R \cong \sigma^* M$$

is given by multiplication with an element  $f \in R$ . It follows that  $\gamma^e$  is given by multiplication by  $f^{1+q+\dots+q^{e-1}}$  under the identification of  $\sigma^{e*} R \cong R$

We will show that the minimal  $\gamma$ -subsheaf of the just described  $\gamma$ -sheaf  $M$  can be expressed in terms of generalized test ideals. We recall from [BMS] Lemma ?? that the test ideal of a principal ideal  $(f)$  of exponent  $\alpha = \frac{m}{q^e}$  is given by

$$\tau(f^\alpha) = \text{smallest ideal } J \text{ such that } f^m \in J^{[q^e]}$$

by Lemma ?? of op. cit.  $\tau(f^\alpha)$  can also be characterized as  $\sigma^{-e}$  of the  $D^{(e)}$ -module generated by  $f^m$ . We set as a shorthand  $J_e = \tau(f^{(1+q+q^2+\dots+q^{e-1})/q^e})$  and repeat the definition:

$$J_e = \text{smallest ideal } J \text{ of } R \text{ such that } f^{1+q+q^2+\dots+q^{e-1}} \in J^{[q^e]}$$

and further recall from section 2.5 that

$$M_e = \text{smallest ideal } I \text{ of } R \text{ such that } f \cdot M_{e-1} \subseteq I^{[q]}$$

with  $M_0 = M$ .

**Lemma 3.4.** *For all  $e \geq 0$  one has  $J_e = M_e$ .*

*Proof.* The equality is true for  $e = 1$  by definition. We first show the inclusion  $J_e \subseteq M_e$  by induction on  $e$ .

$$\begin{aligned} M_e^{[q^e]} &\supseteq (f \cdot M_{e-1})^{[q^{e-1}]} = (f^{q^{e-1}} M_{e-1}^{[q^{e-1}]}) \\ &= (f^{q^{e-1}} J_{e-1}^{[q^{e-1}]}) \supseteq f^{q^{e-1}} \cdot f^{1+q+q^2+\dots+q^{e-2}} \\ &= f^{1+q+q^2+\dots+q^{e-1}} \end{aligned}$$

since  $J_e$  is minimal with respect to this inclusion we have  $J_e \subseteq M_e$ .

Now we show for all  $e \geq 1$  that  $f \cdot J_{e-1} \subseteq J_e^{[q]}$ . The definition of  $J_e$  implies that

$$f^{1+q+\dots+q^{e-2}} \in (J^{[q^e]} : f^{q^{e-1}}) = (J^{[q]} : f)^{[q^{e-1}]}$$

which implies that  $J_{e-1} \subseteq (J^{[q]} : f)$  by minimality of  $J_{e-1}$ . Hence  $f \cdot J_{e-1} \subseteq J^{[q]}$ . Now, we can show the inclusion  $M_e \subseteq J_e$  by observing that by induction one has

$$J_e^{[q]} \supseteq f \cdot J_{e-1} \supseteq f \cdot M_{e-1}.$$

which implies by minimality of  $M_e$  that  $M_e \subseteq J_e$ .  $\square$

This shows that the minimal  $\gamma$ -sheaf  $M_{\min}$ , which is equal to  $M_e$  for  $e \gg 0$  by [Proposition 2.20](#), is just the test ideal  $\tau(f^{(1+q+q^2+\dots+q^{e-1})/q^e})$  for  $e \gg 0$ . As a consequence we have:

**Proposition 3.5.** *Let  $M$  be the  $\gamma$ -sheaf given by  $R \xrightarrow{f} R \cong \sigma^* R$ . Then  $M_{\min} = \tau(f^{(1+q+q^2+\dots+q^{e-1})/q^e})$  for  $q \gg 0$ . In particular,  $M_{\min} \supseteq \tau(f^{\frac{1}{q-1}})$  and the  $F$ -pure-threshold of  $f$  is  $\geq \frac{1}{q-1}$  if and only if  $M$  is minimal.*

*Proof.* For  $e \gg 0$  the increasing sequence of rational numbers  $(1 + q + q^2 + \dots + q^{e-1})/q^e$  approaches  $\frac{1}{q-1}$ . Hence  $M_e = \tau(f^{(1+q+q^2+\dots+q^{e-1})/q^e}) \supseteq \tau(f^{\frac{1}{q-1}})$  for all  $e$ . If  $M$  is minimal, then all  $M_e$  are equal hence the multiplier ideals  $\tau(f^\alpha)$  must be equal to  $R$  for all  $\alpha \in [0, \frac{1}{q-1})$ . In particular, the  $F$ -pure-threshold of  $f$  is  $\geq \frac{1}{q-1}$ . Conversely, if the  $F$ -pure threshold is less than  $\frac{1}{q-1}$ , then for some  $e$  we must have that  $\tau(f^{(1+q+q^2+\dots+q^{e-1})/q^e}) \neq \tau(f^{(1+q+q^2+\dots+q^e)/q^{e+1}})$  such that  $M_e \neq M_{e+1}$  which implies that  $M \neq M_1$  such that  $M$  is not minimal.  $\square$

*Remark 3.6.* This shows also, after replacing  $f$  by  $f^r$ , that  $\frac{r}{q-1}$  is not an accumulation point of  $F$ -thresholds of  $f$  for any  $f$  in an  $F$ -finite regular ring. In [\[BMS\]](#) this was shown for  $R$  essentially of finite type over a local ring since our argument there depended on [\[Lyu97\]](#) Theorem 4.2. Even though  $D$ -modules appear in the present article, they only do so by habit of the author, as remarked before, they can easily be avoided.

*Remark 3.7.* Of course, for  $r = q - 1$  this recovers (and slightly generalizes) the main result in [\[AMBL05\]](#).

*Remark 3.8.* I expect that this descriptions of minimal roots can be extended to a more general setting using the modifications of generalized test ideals to modules as introduced in the preprint [\[TT07\]](#).

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